

The presentation will begin shortly.

Please be sure to "MUTE" your microphone and "sign in" by typing your name and company in the chat box at the right side of the screen.



This session is being recorded.

"All information provided in South-Point Constructors communications, materials, and events is considered proprietary and is intended for distribution and use exclusively by firms seeking potential contract opportunities with South-Point Constructors in association with the TxDOT Southeast Connector Design Build Project."

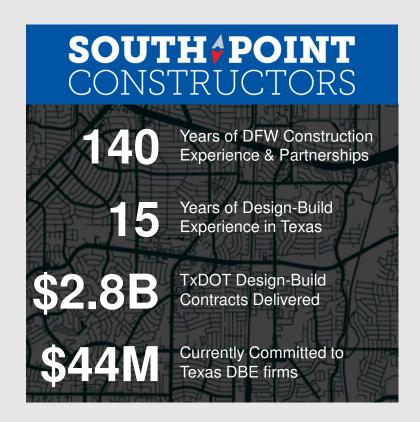
SOUTH-POINT CONSTRUCTORS INTRODUCTION

South-Point Constructors is a fullyintegrated joint venture between Equity Members Kiewit Infrastructure South Co. and Austin Bridge & Road, LP

- Multiple local offices, national resources
- Previous Joint Venture experience
- Best practices to optimize DBE participation and success







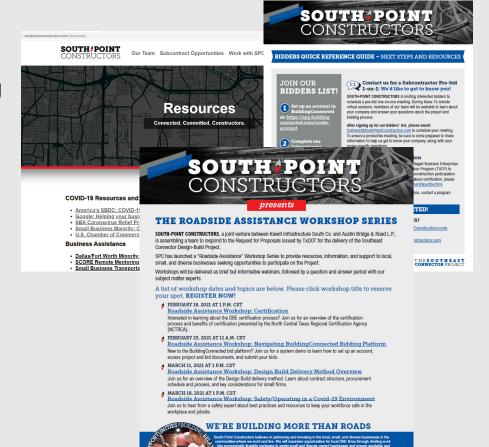


RESOURCES AND ASSISTANCE

South-Point Constructors is committed to providing information and resources to assist firms seeking opportunities to participate on the Southeast Connector project.

"Roadside Assistance" Workshop Series:

- 2/18 Certification
- 2/25 Navigating Building Connected
- 3/11 Design-Build Delivery Overview
- 3/8 Safety Operating in a COVID-19 Environment



SOUTHEAST CONNECTOR CERTIFICATION REQUIREMENTS

Disadvantaged Business Enterprise (DBE) Goals: 14.5% for construction 23.0% for professional services

Firms must be certified as Disadvantaged Business Enterprises (DBEs) by Texas Unified Certification Program (TUCP) to count toward participation goals set forth by TxDOT.

Six certifying entities:

- · City of Austin
- City of Houston
- Corpus Christi Regional Transportation Authority (CCRTA)
- North Central Texas Regional Certification Authority (NCTRCA)
- South Central Texas Regional Certification Authority (SCTRCA)
- Texas Department of Transportation (TxDOT)





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FOR ONLINE APPLICATIONS: https://nctrca.mwdbe.com

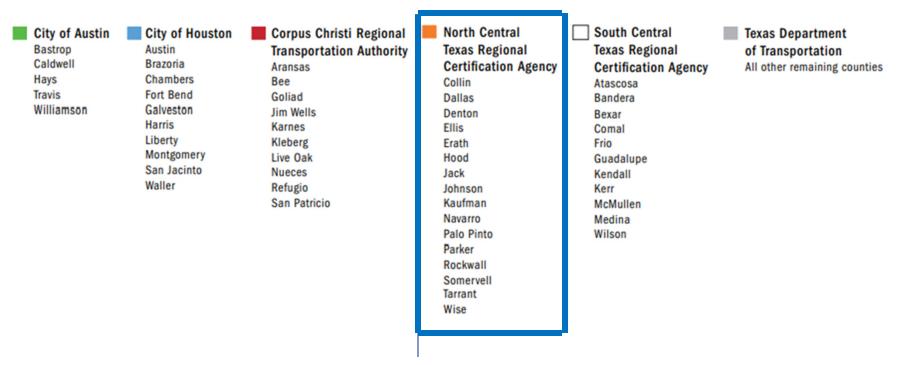
About NCTRCA

- North Central Texas Regional Certification Agency (NCTRCA)
- ➤ Represent 20+ governmental/associate member entities that are committed to enhancing the participation of disadvantaged, small, women, and minority owned businesses in public/governmental contracting and purchasing activities
- Assist our member entities by validating that businesses are bona-fide disadvantaged, small, woman and minority-owned businesses
- Provide education and counseling to assist businesses with the certification process
- Member of the Texas Unified Certification Program (TUCP) which provides certification to the Federal Disadvantaged programs in Texas
 - 6 member agencies
 - ➤ NCTRCA covers 16 counties in North Texas

ACDBE/DBE Program

- ➤ The Disadvantaged Business Enterprise `(DBE) program is a federal program administered by the United State Department of Transportation (USDOT) through each State's Unified Certification Program
- The goal is to ensure that eligible DBE firms can compete fairly for federally funded transportation-related projects
- ➤ NCTRCA is one of six members of the Texas Unified Certification Program (TUCP)
 - NCTRCA
 - SCTRCA
 - TXDOT
 - City of Austin
 - City of Houston
 - Corpus Christi Regional Transportation Authority
- The TUCP is responsible for vetting firms to ensure they meet the DBE/ACDBE eligibility requirements as defined by Title 49 Part 26 of the Code of the Federal Regulations (DBE) or Title 49 Part 23 of the Code of Federal Regulations (ACDBE)

TUCP Certifying Agency Region Map



- Firms must apply for DBE certification in the region that their firm is located.
- ➤ If your firm is certified by any of the entities referenced above, your certification is valid across the state of Texas.

ACDBE/DBE Certification Criteria

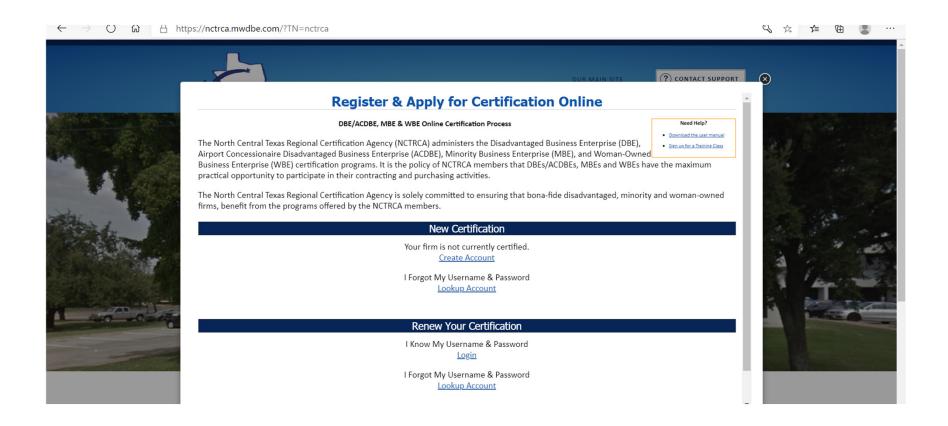
- Firm must be at least 51% owned and controlled by a socially and economically disadvantaged individual(s)
 - Women
 - Black Americans
 - Hispanic Americans
 - Native Americans
 - Asian-Pacific Americans, Subcontinent Asian American
 - other minorities found to be disadvantaged by the SBA
- ➤ The disadvantaged owners must be a United States Citizen or lawfully admitted permanent resident of the United States
- Firm must be organized as a for-profit business
- Firm must be physically located in the United States or it's trust territories
- ➤ The firm must meet the <u>U.S. Small Business</u>

 <u>Administration</u> size standard requirements for a small business in its NAICS code industry(s) and does not have annual gross receipts that exceed \$23.98 million for DBEs and \$56.42 for ACDBEs in the 3 previous fiscal years
- ➤ Personal Net Worth for all owners claiming disadvantaged status for the DBE and/or ACDBE program must not exceed \$1.32 million





How to Apply

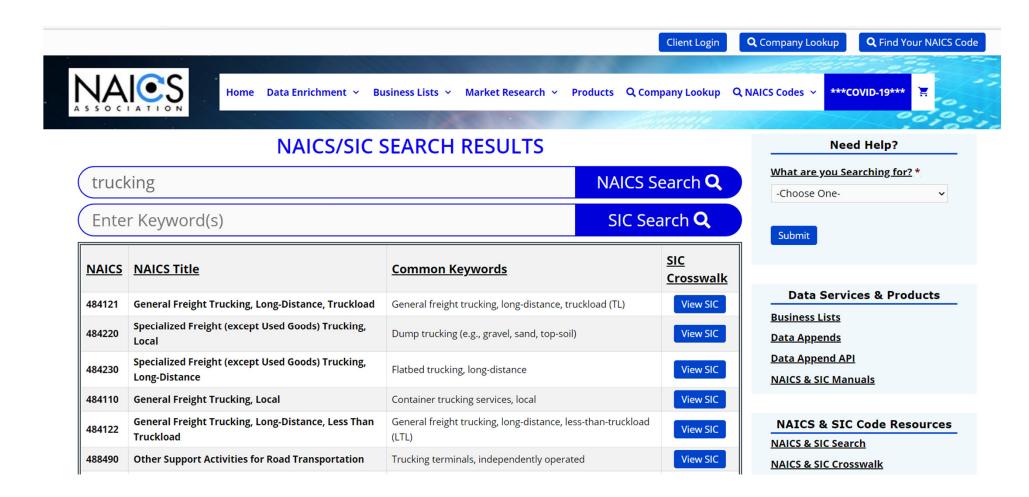


How to Apply

Application Tips

- ▶ Prior to applying online review the paper application for instructions at http://nctrca.org/images/USDOT_NE W_DBE_Application112014.pdf
- ➤ Answer each question thoroughly
- ➤ Submit <u>all</u> required documents
 - Ensure you understand what is needed before uploading documents, etc.
- Failure to submit a complete application will delay process
- ➤ At least 90 days to process
- ➤ Detailed description of all services provided include NAICS codes if known

NAICS & SIC Identification Tools | NAICS Association



Interstate Certification

- If you are currently DBE certified in your home state (State A) and want to participate in the DBE program in Texas (State B), you must submit the following as per 49 CFR Part 26.85(c):
- (1) You must provide State B a complete copy of the application form, all supporting documents, and any other information you have submitted to State A <u>in</u> <u>its entirety</u>.
- (2) You must also provide State B any notices or correspondence from <u>states</u> other than your home state relating to your status as an applicant or certified DBE in those states. For example, if you have been denied certification or decertified in <u>State</u> C, or subject to a decertification action there, you must inform <u>State</u> B of this fact and provide all documentation concerning this action to <u>State</u> B.
- (3) If you have filed a certification appeal with DOT (see § 26.89), you must inform State B of the fact and provide your letter of appeal and DOT's response to State B.

Interstate Certification

- (4) You must submit an affidavit sworn to by the firm's <u>owners</u> before a <u>person</u> who is authorized by <u>State</u> law to administer oaths or an unsworn declaration executed under penalty of perjury of the laws of the United States.
- (i) This affidavit must affirm that you have submitted all the information required by 49 CFR 26.85(c) and the information is complete and, in the case of the information required by § 26.85(c)(1), is an identical copy of the information submitted to State A.
- (ii) If the on-site report from <u>State</u> A supporting your certification in <u>State</u> A is more than three years old, as of the date of your application to <u>State</u> B, <u>State</u> B may require that your affidavit also affirm that the facts in the on-site report remain true and correct.

www.nctrca.org

Online applications:

https://nctrca.mwdbe.com

Email: mail@nctrca.org

Phone: 817.640.0606

Contact Us



CONNECT WITH SOUTH-POINT



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VISIT

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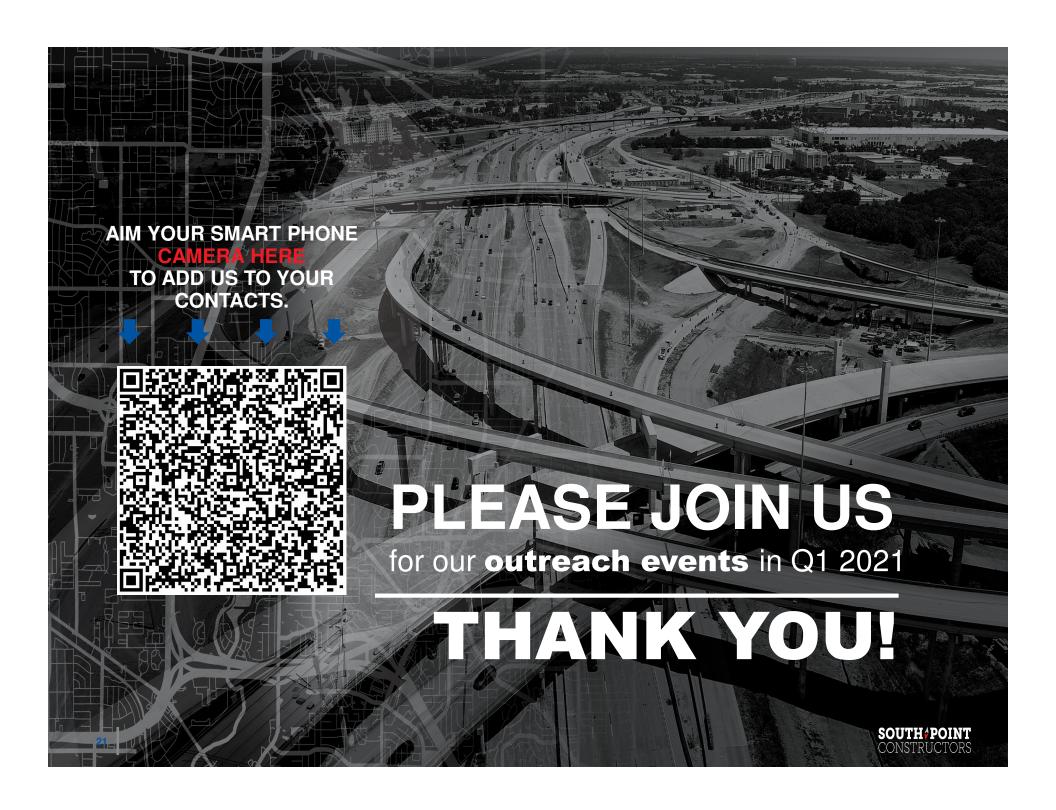


SOUTH-POINT EVENTS

Date	Event	Purpose/Description
February 18, 1pm CST	Roadside Assistance Workshop – Certification	Learn about DBE certification process and benefits
February 23, 2pm CST	SPC General Outreach Session #2	Repeat Presentation
February 25, 11am CST	Roadside Assistance Workshop – Building Connected	Learn how to set up and navigate a BuildingConnected account
March 3*	Design Discipline/Scope specific outreach	Design and professional services firm focused outreach event
March 4*	Construction Discipline/ Scope specific outreach	Construction firm focused outreach event
March 8-11*	Subcontractor One-on-ones (Limited slots available on first come, first served basis)	 15-min individual meetings with estimating, discipline leads, outreach team Firm introductions Scope specific questions
March 11, 1pm CST	Roadside Assistance Workshop – Design Build	Hear an overview of the design-build delivery process and key considerations for small businesses
March 18, 1pm CST	Roadside Assistance Workshop – Safety in a COVID-19 Environment	Hear best practices to maintain workplace and worksite safety in a COVID-19 environment

* Event time(s) to be announced.





Appendix

- Résumés (that include places of employment with corresponding dates), for all owners, officers, and key personnel of the applicant firm
- Personal Net Worth Statement for each socially and economically disadvantaged owners comprising 51% or more of the ownership percentage of the applicant firm
- Personal Federal tax returns for the past 3 years, if applicable, for each disadvantaged owner
- Federal tax returns (and requests for extensions) filed by the firm and its affiliates with related schedules, for the past 3 years
- Documented proof of contributions used to acquire ownership for each owner (e.g., both sides of cancelled checks)
- Signed loan and security agreements, and bonding forms
- List of equipment and/or vehicles owned and leased including VIN numbers, copy of titles, proof of ownership, insurance cards for each vehicle
- ➤ Title(s), registration certificate(s), and U.S. DOT numbers for each truck owned or operated by your firm

- Licenses, license renewal forms, permits, and haul authority forms
- Descriptions of all real estate (including office/storage space, etc.) owned/leased by your firm and documented proof of ownership/signed leases
- List of all employees, job titles, and dates of employment
- Documented proof of any transfers of assets to/from your firm and/or to/from any of its owners over the past 2 years
- ➤ DBE/ACDBE and SBA 8(a), SDB, MBE/WBE certifications, denials, and/or decertifications, if applicable; and any U.S. DOT appeal decisions on these actions
- Bank authorization and signatory cards
- Schedule of salaries (or other remuneration) paid to all officers, managers, owners, and/or directors of the firm
- Proof of warehouse/storage facility ownership or lease arrangements

In addition to the documents on the previous page, the following documents are required according to business structure:

Partnership or Joint Venture

Original and any amended Partnership or Joint Venture Agreements

Corporation or LLC

- Official Articles of Incorporation
- Both sides of all corporate stock certificates and your firm's stock transfer ledger
- Shareholders' Agreement(s)
- Minutes of all stockholders and board of directors meetings
- Corporate by-laws and any amendments
- Corporate bank resolution and bank signature cards
- Official Certificate of Formation and Operating Agreement with any amendments (for LLCs)

Optional Documents to be Provided upon request

Per USDOT, NCTRA may require the submission of the following documents. If requested to provide these documents, you must supply them with your application or at the on-site visit.

- Proof of citizenship
- Insurance agreements for each truck owned or operated by your firm
- Audited financial statements (if available)
- Personal Federal Tax returns for the past 3 years, if applicable, for other disadvantaged owners of the firm
- Trust agreements held by any owner claiming disadvantaged status
- Year-end balance sheets and income statements for the past 3 years (or life of firm, if less than three years)
- Suppliers
- List of product lines carried
- > List of distribution equipment owned and/or leased